

COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

See for #

# 4

In re PATENT APPLICATION of

Applicants: Thomas J. Brennan et al.

Appln. No.: 09/900,699

Filed: July 6, 2001

Title: Transgenic Mice Containing DEZ Orphan  
Receptor Gene Disruptions

Order/Docket No. R-173

Deposit Account No. 50-1271

Customer No. 26619

Date: January 18, 2002

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Enclosed are Formal Drawings containing Figures 1 through 2B in compliance with 37 CFR §1.84.
5. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED (SEE RPT ABOVE FOR DETAILS)							
6. Basic Filing Fee					\$370.00	370.00	201
7. Total Claims	25	minus 20 =	5	x 9.00	45.00		203
8. Independent Claims	10	minus 3 =	7	x 42.00	294.00		202
9. Multiple Dependent Claims					140.00	140.00	204
10. Surcharge for filing Declaration/filing fee late.					65.00	65.00	205
11. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 914.00		
12. Original due date: December 22, 2001							
13. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	55.00	215
14. If an Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed):						= \$ 1009.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road  
Redwood City, CA 94063  
Tel (650) 569-5100  
Fax (650) 569-5280

ROBERT J. DRISCOLL, Reg. No. 47,536

02/15/2002 MMHANN1 00000072 09900699

06 FC:215 55.00 CP

Adjustment date: 03/18/2002 MMHANN1  
02/15/2002 MMHANN1 00000072 09900699  
02 FC:203 -45.00 CP

I, Deborah A. Mojarro, certify that the enclosed documents are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on January 18, 2002.

Deborah A. Mojarro

03/18/2002 MMHANN1 00000006 09900699

01 FC:203 27.00 CP

Repln. Ref: 03/18/2002 MMHANN1 0013110200  
DAB:501271 Name/Number:09900699  
FC: 704 \$18.00 CR

R-173/RJD

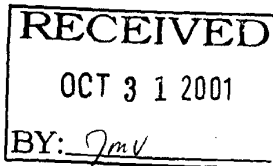




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,699	07/06/2001	Thomas J. Brennan	R-173

DELTA GEN, INC.  
1000 Hamilton Avenue  
Menlo Park, CA 94025OCT: 12/22/01  
Fm: 05/22/02

CONFIRMATION NO. 3947

FORMALITIES LETTER



\*OC000000006942731\*

Date Mailed: 10/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$442. 02/15/2002 HNOHNN1 00000072 09900699
  - \$27 for 3 total claims over 20. 01 FC:201 370.00 OP
  - \$280 for 7 independent claims over 3. 02 FC:203 45.00 OP
  - \$135 for multiple dependent claim surcharge. 03 FC:202 294.00 OP
  - 04 FC:204 140.00 OP
  - 05 FC:205 65.00 OP
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 862.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE